PTO/AJA/96 (08-12)
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STATEMENT UNDER 37 CFR 3.73(c)	
Applicant/Patent Owner: Kalle GmbH & Co. KG	
Application No./Patent No.: 6,821,588	Filed/Issue Date: Filed:Nov. 21, 2000; Issue:Nov. 23, 2004
Titled: Film containing starch or starch derivatives and polyester urethanes	
Kalle GmbH & Co. KG	
(Name of Assignee)	(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that, for the patent application/patent identified above, it is (choose one of options 1, 2, 3 or 4 below):	
1. The assignee of the entire right, title, and interest.	
2. An assignee of less than the entire right, title, and interest (check applicable box):	
The extent (by percentage) of its ownership interest is%. Additional Statement(s) by the owners holding the balance of the interest <u>must be submitted</u> to account for 100% of the ownership interest.	
There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:	
Additional Statement(s) by the owner(s) his right, title, and interest.	nolding the balance of the interest <u>must be submitted</u> to account for the entire
3. The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made).  The other parties, including inventors, who together own the entire right, title, and interest are:	
Additional Statement(s) by the owner(s) he right, title, and interest.	olding the balance of the interest <u>must be submitted</u> to account for the entire
4. The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.	
The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose one of options A or B below):	
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel (115437), Frame (1650), or for which a copy thereof is attached.	
B. A chain of title from the inventor(s), of the pa	tent application/patent identified above, to the current assignee as follows:
	To;
The document was recorded in the United States Patent and Trademark Office at	
Reel, Frame	or for which a copy thereof is attached.
2. From:	To:
The document was recorded in the United States Patent and Trademark Office at	
Reel, Frame	, or for which a copy thereof is attached.
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This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. BO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Title or Registration Number

## Approved for use through 01/31/2013. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Papenwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. STATEMENT UNDER 37 CFR 3.73(c) То: 3. From: \_\_\_\_ The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. \_\_\_\_\_To; \_\_\_\_\_ The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_\_, Frame \_\_\_\_\_\_, or for which a copy thereof is attached. \_\_\_\_\_ To: \_\_\_\_\_ 5. From: \_\_ The document was recorded in the United States Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached. To: 6. From: The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. Additional documents in the chain of title are listed on a supplemental sheet(s). As required by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08] The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. January 11, 2013 Date Signature Dr. Stefan SCHWENKEDEL Holder of Proxy

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Printed or Typed Name

## Privacy Act Statement

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  course of settlement negotiations.
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